

Tribal HEEHR Narrative Document Crosswalk

Home Energy Rebates Program Information Sheet

September 2026

This document outlines the changes between the **new** Version 3 Tribal 50122 Narrative Document Template (published September 25, 2026) and the old Version 2 Tribal 50122 Narrative Document Template (published November 7, 2024).

v3 #	NEW Version 3 Question	v2 #	Version 2 Question	Change
Program Overview (Optional)	Please provide a brief overview of your HEEHR program design, including your implementation strategy and whether you will provide only certain rebate upgrades or target specific Tribal household types and/or buildings.	N/A	N/A	New optional question
1	Please provide the types of allowable costs the Tribe proposes within the category of administrative funds.	1	Please identify the specific types of allowable costs the Tribe proposes within 1) administrative funds and 2) rebate funds.	Removed rebate funds from previously published question (moved to New Question 2)
1a	Provide the total amount of estimated administrative funds to be expended annually.	1a	Provide the total amount of estimated administrative funds to be expended annually:	No change
2	Please provide the types of allowable costs the Tribe proposes within the category of rebate funds.	1	Please identify the specific types of allowable costs the Tribe proposes within 1) administrative funds and 2) rebate funds.	Removed administrative funds from previously published question (moved to New Question 1)

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2a	If requesting additional funds for project-related activities, describe and justify each activity and associated budget in the budget justification worksheet as well as below.	N/A	N/A	New question to align with Budget Justification Workbook
2b	Provide the total amount of estimated project-related costs that will be paid from rebate funds to be expended annually:	1b	Provide the total amount of estimated project-related costs as a portion of the rebate funds to be expended annually.	Updated question number
3	Certify that costs will not be duplicated on other awards and are not duplicative from costs captured in the Indirect Rates.	N/A	N/A	New question
4	Confirm that the Tribe will ensure that rebate conditions, levels, and the administrative funds are adhered to over the duration of the program.	2	Confirm that the Tribe will ensure that rebate conditions, levels, and the administrative funds are adhered to over the duration of the program, using technical assistance from DOE.	Clerical edit
5	Identify the maximum rebate amounts that will be offered to eligible entities and eligible entity representatives if lower than the allowed amounts.	3	Identify the maximum rebate amounts that will be offered to eligible entities and eligible entity representatives if lower than the amounts allowed in the law.	Added note
6	Describe the documentation requirements related to project costs from eligible entities and eligible entity representatives.	4	List the project cost documents that the Tribe will require from eligible entities and eligible entity representatives.	Clerical edit and added note
7	List the installation incentives the Tribe will provide eligible entity representatives for each eligible product or service up to \$500 (in total per project).	5	Identify the rebate budget for the installation incentives (up to \$500 in total per dwelling unit).	Clerical edit
8	Confirm that the Tribe will inform all contractors, other eligible entity representatives, households, and building owners participating in the rebate program that eligible entities cannot receive multiple federal rebates or grants for the same Qualified Electrification Project (QEP).	6	Confirm that the Tribe will inform all contractors, Tribally-designated housing entities, and households participating in the rebate program that eligible entities cannot receive other Federal rebates or grants for the same qualified electrification project.	Clerical edit
9	Confirm that the Tribe will attach the following tenant protection requirements to rental property owners who claim rebates for at least two years	9	Confirm that tenant protection requirements will attach to rental property owners who claim rebates.	Added tenant protection

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	following the receipt of the rebates by the owner of tenant-occupied building: • The owner agrees to rent the dwelling unit to a low-income tenant. • The owner agrees not to evict a tenant to obtain higher rent tenants based upon the improvements. • The owner agrees not to increase the rent of any tenant of the building as a result of the energy improvements, with exception of increases to recover actual property tax increases or justified operating and maintenance cost increases. • The owner agrees to provide tenants with written notice of their rights and their building owner's obligations that are listed here within 30 days of receiving the rebate. • In the event the owner does not comply, the owner must refund the rebate.			requirements from PRAI
10	Confirm that the Tribe will verify that rebates are provided only for qualified electrification projects, including that each of the following conditions are met for each project: • Project includes the purchase and installation of a qualifying product, as described in Program Notice 26-3 and Section 3.1.4 of the Program Requirements & Application Instructions document • The installation occurs at a single-family home or eligible multifamily building	10	Confirm that the Tribe will verify the rebates are provided only for qualified electrification projects, including that each of the following conditions are met for each project: • Project includes the purchase and installation of a qualifying product, as described in Section 3.1.4; • The qualifying product is installed as part of new construction; or to replace non-electric appliance; or as a first-time purchase with respect to that appliance; and • The installation occurs at a single-family home or eligible multifamily building.	Revised requirements to align with Program Notice 26-3 Section 3.3
11	Confirm that ENERGY STAR certification will be required for all rebated products, if applicable. If the Tribe decides to seek approval for alternative	N/A	N/A	New question

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	standards, confirm that the Tribe will provide detailed justification for proposed deviations from ENERGY STAR certification and will not use these alternatives unless they are approved by DOE.			
12	Describe how the Tribe will collect and retain documentation to demonstrate how each criterion in Section 3.1.4 of the Program Requirements & Application Instructions document and Program Notice 26-3 was met for each installation.	11	Describe how the Tribe will collect and retain documentation to demonstrate how each criterion in Section 3.1.4 was met for each installation, using DOE guidelines and technical assistance.	Revised to include requirements from Program Notice 26-3
13	For eligible new construction rebates, describe the required documentation to confirm that the eligible entity representative and eligible entity ensure operational and consumer cost effectiveness.	N/A	N/A	New question
14	For existing homes receiving a heat pump upgrade, specify what level of insulation and air sealing is required such that a home does not need to utilize rebates for insulation and air sealing prior to installing a heat pump.	N/A	N/A	New question
15	For existing homes receiving ventilation upgrades, describe how the Tribe will verify that ventilation materials are eligible only if homes also receive air sealing services or otherwise achieve low air leakage rates.	N/A	N/A	New question
16	Describe what types of systems will be put into place to allow effective processing of rebates, including ensuring the ability to apply rebates at point of sale and/or as part of an invoice. Confirm that the system will link to the DOE's federal database through regular data submissions (check all that apply): ☐ Yes, the system will link to the federally provided system via real-time connection to the API. [Note by checking this box, the Tribe is	13, 7	13. If the Tribe does not use the DOE Rebate Tracking and Reporting Tool, describe what types of systems will be put into place to allow effective processing of rebates, including ensuring the ability to apply rebates at point of sale and/or as part of an invoice. If applicable, confirm that the system will link to federally provided systems via API. 7. Confirm that the Tribe will provide	Clerical edit and incorporated prior Question 7

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	agreeing to report data elements in accordance with the State and Territory Data and Tools Requirements Guide as there is no Tribal specific real-time connection associated with the Tribal specific reporting elements documented in the PRAI]. ☐ Yes, the system will link to the federal provided system via after-the-fact reporting via API endpoint. ☐ Yes, information will be submitted via DOE-provided spreadsheet template. ☐ Defer response to Tribal Implementation Blueprint.		information via use of the Application Programming Interface (API) available in the DOE Rebate Tracking and Reporting Tool (if applicable), DOE-provided spreadsheet, or work with DOE to determine appropriate transfer of information to DOE to ensure that rebates limited to a single product or a combination of products are tracked and managed, and no duplicate rebates are awarded.	
17	Identify whether the Tribe will implement mail-in rebates for each, or any, qualified project category in addition to the point-of-sale pathway for the same qualified project category or categories. If yes, describe the systems that will be used for processing mail-in rebates.	N/A	N/A	New question
18	Describe how the Tribe will ensure processing of rebates within 12 weeks of receipt (e.g., through a processing company, through program implementers, or other entities or methods), subject to exceptions for barge or air shipment, equipment availability, and for projects involving large multifamily properties. Include information on corrective actions that the Tribe will implement in the event of lengthier processing times.	14	Describe how the Tribe will ensure processing of rebates within 12 weeks of receipt (e.g., through a processing company, through program implementers, or other entities or methods) subject to exceptions for barge or air shipment. Include information on corrective actions that the Tribe will implement in the event of lengthier processing times.	Clerical edit
19	For single-family households (including upgrades for individual dwelling units within a multifamily building), describe what combination of methods will be used for claimants to be able to establish their household income for income qualification.	15	For single-family households, how will claimants be able to establish their household income for income qualification? A Tribe may select from the following options:	Clerical edit and added note

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	Tribes may select from the following options: ☐ Documentation of household income (for example, 1040) ☐ Documentation of enrollment in a pre-qualifying program ☐ Self-attestation of enrollment in a pre-qualifying program for initial application, with verification before a rebate payment is issued. ☐ Self-attestation of income level for initial application, with verification by another income-eligibility method before a rebate payment is issued.		☐ Documentation of household income (for example, IRS 1040) ☐ Documentation of enrollment in a pre-qualifying program ☐ Self-attestation of income level ☐ Self-attestation of enrollment in pre-qualifying program	
20	For multifamily building whole building or central upgrade projects, describe what combination of methods will be used to verify that at least 50% of the occupied dwelling units consist of households with incomes less than 80% AMI and to verify that at least 50% of the occupied dwelling units consist of households with incomes less than 150% AMI.	16, 17	16. For multifamily buildings, describe what combination of methods will be used to verify that at least 50% of dwelling units consist of households with incomes less than 80% AMI. 17. For multifamily buildings, describe what combination of methods will be used to verify that at least 50% of dwelling units consist of households with incomes less than 150% AMI (but not less than 80% AMI).	Combined two questions and added note
21	Confirm the following: • Claimant will be required to note the number of individuals who occupy the dwelling unit. • Claimant will be required to sign a statement attesting to the validity of all information provided (e.g., enrollment documents, household income, number of full-time occupants) irrespective of the method of income qualification (e.g., categorical eligibility, self-attestation). • Tribe's intake system for users to provide income information will compare household income to 80%	18, 12	18. Confirm the following: • Claimant will be required to note the number of individuals who occupy the dwelling unit at the time of application. • Claimant will be required to sign an affidavit attesting to the validity of all information provided (e.g., enrollment documents, household income, number of full-time occupants) irrespective of the method of income qualification (e.g., categorical eligibility, self-attestation).	Incorporated part of prior Question 12

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	and 150% AMI values associated with that household's county and household size to determine rebate levels prior to signing statements regarding their income.		12. Confirm that either a) the Tribe will use a DOE table to compare a household's income with the 80% and 150% AMI levels associated with the home's location and number of occupants for income verification; or b) ensure that up-to-date AMI levels are correctly referred to as points of comparison, on intake system for users to provide income information that will compare household income to 80% and 150% AMI values associated with that household's area and household size to determine specific rebate levels prior to users signing statements regarding their income.	
22	If not planning to rely on the federally provided address-service API to keep the 80% AMI and 150% AMI levels for each location up to date, explain how the Tribe will keep the levels up to date.	19, 12	19. Confirm the following: • 80% AMI and 150% AMI levels for each location will be kept up to date and linked to DOE systems. Provide additional information if proposing NOT to use a federally provided link or API. 12. Confirm that either a) the Tribe will use a DOE table to compare a household's income with the 80% and 150% AMI levels associated with the home's location and number of occupants for income verification; or b) ensure that up-to-date AMI levels are correctly referred to as points of comparison, on intake system for users to provide income information that will compare household income to 80% and 150% AMI values associated with that household's area and household size to determine specific	Incorporated part of prior Question 12

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			rebate levels prior to users signing statements regarding their income.	
23	Confirm that the Tribe will do the following: • Review information provided to support income eligibility for all applicants applying for rebates. • Take steps to verify income eligibility for all applications (100%) that use self-attestation to support income eligibility (e.g., confirm enrollment in at least one program that the applicant asserted enrollment in; confirm household income via IRS confirmation through the Income Verification Express Service (IVES) tool, if available; calls to employers; or other methods as applicable). • Each time applicants receive rebates and are subsequently found to not meet eligibility requirements, report to DOE within 30 days of discovery of the issue and explain how the Tribe will resolve the problem.	20, 21	20. Confirm that the Tribe will do the following: • Review information provided to support income eligibility for all applicants applying for rebates; • Take steps to verify income eligibility for at least 3% of those applicants that use self-attestation to support income eligibility (e.g., confirm enrollment in at least one program that the applicant asserted enrollment in); and • Report the results of its verification efforts from carrying out steps to verify income eligibility least 3% of those applicants that use self-attestation to DOE no longer than 15 months after program launch. 21. Confirm that the Tribe will coordinate with DOE's project officer as needed to resolve any concern regarding its income verification process.	Clarified self-attestation requirements from Program Notice 26-3 and incorporated prior Question 21
24	Identify how the Tribe will resolve instances when rebates are provided to those who have falsified their incomes.	22	Describe how the Tribe will resolve instances when rebates are provided to those who have falsified their incomes.	Clerical edit
25	If proposing to allow self-attestation as a means for initial income qualification, provide a detailed description of how and when this approach will be used. Include statements, language, and detailed information regarding follow-up steps that will be used for this process. Additional topics to address include: • Describe how those applying for rebates will be warned of potential liability associated with	23	If proposing to allow self-attestation as a means for initial income qualification, describe how and when this approach will be used. Include statements, language, and detailed information regarding follow-up steps that will be used for this process. Additional topics to address include: • Describe how those applying for rebates will be warned of potential liability associated with	Clarified self-attestation requirements to prevent fraud, waste, and abuse

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	falsifying information. • Explain how signed statements of self-attestation will be securely stored. • Identify what level of falsified attestations will signal that the system needs to shut down either permanently or be restarted only after sufficient improvements.		falsifying information. • Explain how signed statements of self-attestation will be securely stored.	
26	Describe how the Tribal program will verify, where applicable, that at least one member of a household has been enrolled in a program within the Federal Programs Approved for Categorical Eligibility document, or other program proposed by the Tribe and approved by DOE, within the prior 12 months.	24	Describe how the Tribe program will verify, where applicable, that at least one member of a household has been enrolled in a program in the past 12 months within the Federal Programs Approved for Categorical Eligibility document, or other Tribe-proposed program whose income eligibility criteria is equal to or stricter than 80% AMI.	Clerical edit
27	If applicable, propose any additional federal and other programs to be considered for categorical eligibility. For any programs not already approved, describe how the program's eligibility aligns with the Section 50122 income requirements (Section 3.1.7 of the Program Requirements & Application Instructions document).	25	If applicable, propose any additional federal and other programs to be considered for categorical eligibility. For any programs not already approved, describe how the program's eligibility aligns with the Section 50122 income requirements.	Clerical edit
28	Identify whether the Tribe requests authorization to allow use of categorical eligibility determinations based on program participation outside of a one-year period (e.g., proof of enrollment within the past 12 months). If yes, explain the Tribe's proposal and describe the rationale.	26	Identify whether the Tribe requests authorization to allow use of categorical eligibility determinations based on program participation outside of a one-year period (e.g., proof of enrollment within the past 12 months). If so, explain the Tribe's proposal and describe the rationale.	Clerical edit
29	Confirm that the Tribe will collect required data listed in Section 3.1.8 of the Program Requirements & Application Instructions document.	27	Confirm that the Tribe will collect required data and cooperate in program evaluation processes as listed in Section 3.1.8.	Removed note

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30	Confirm that the Tribe will prepare and implement a Fraud, Waste, and Abuse Mitigation Plan in accordance with the requirements in Section 3.2.2 of the Program Requirements & Application Instructions and Program Notice 26-3. While DOE recommends incorporating all elements into their Fraud, Waste, and Abuse Mitigation Plan as listed in Section 3.2.2, Indian Tribes with a funding allocation below \$1 million are only required to create a plan incorporating the requirements included in the “Data Review,” “Virtual Inspection,” and “Contractors and Other Partners” sections.	28	Confirm that the Tribe will implement the Consumer Protection Plan in accordance with program requirements. While DOE recommends incorporating all elements into their Consumer Protection Plan as listed in Section 3.2.2, Indian Tribes with a funding allocation below \$800,000 are only required to create a plan incorporating the requirements included in the “Data Review,” “Virtual Inspection,” and “Contractors and Other Partners” sections.	Updated Consumer Protection Plan to Fraud, Waste, and Abuse Plan and incorporated Program Notice 26-3 flexibility for the plan
N/A	N/A	8	Identify the portion of the rebate funds the Tribe will reserve for low-income and low-income multi-family households.	Removed question per Program Notice 26-3